



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

July 9, 2004
Date

Carolyn L. Ross
Carolyn L. Ross

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/915,658

Confirmation No. : 9163

Applicants : Gundu M. Sabde and Whonchee Lee

Filed : July 25, 2001

Attorney Docket No.: 500163.04

Art Unit : 1765

Customer No. : 27,076

Examiner : Kin-Chan Chen

Title : METHODS AND APPARATUSES FOR PLANARIZING MICROELECTRONIC
SUBSTRATE ASSEMBLIES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37

C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(d). The Commissioner is authorized to charge any other fees, which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



Marcus Simon
Registration No. 50,258

MS:clr

Enclosures:

- Postcard
- Check
- Fee Transmittal (+ copy)
- Copy of Information Disclosure Statement
- Form PTO-1449
- Cited References (1)

1420 Fifth Avenue, Suite 3400
Seattle, WA 98101
Telephone (206) 903-8800
Facsimile (206) 903-8820

FORM PTO-1449
(REV.7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
500163.04APPLICATION NO.
09/915,658**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANT(S)

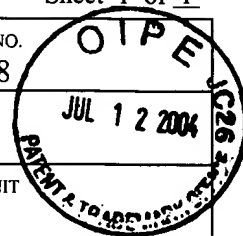
Gundu M. Sabde and Whonchee Lee

FILING DATE

July 25, 2001

GROUP ART UNIT

1765

**U.S. PATENT DOCUMENTS**

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|----------|--------|-------|----------|-------------------------------|
| | AA | 6,634,927 B1 | 10/21/03 | Molnar | 451 | 8 | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AK | | | | | | | |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

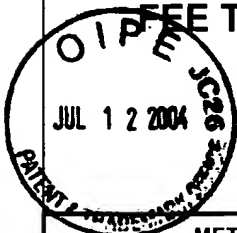
| | | |
|--|----|--|
| | AP | |
| | AQ | |
| | AR | |

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

EPW 1765/4



FEE TRANSMITTAL SHEET (FOR FY 2004)

| Complete if Known | |
|----------------------|----------------|
| Application No. | 09/915,658 |
| Filing Date | July 25, 2001 |
| First Named Inventor | Gundu M. Sabde |
| Group Art Unit | 1765 |
| Examiner | Kin-Chan Chin |
| Atty. Docket Number | 500163.04 |

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION

| 1. BASIC FILING FEE | | | | |
|---------------------|----------|--------------|----------|---|
| Large Entity | | Small Entity | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
| 1001 | 770 | 2001 | 385 | <input type="checkbox"/> Utility Filing Fee |
| 1002 | 340 | 2002 | 170 | <input type="checkbox"/> Design Filing Fee |
| 1003 | 530 | 2003 | 265 | <input type="checkbox"/> Plant Filing Fee |
| 1004 | 770 | 2004 | 385 | <input type="checkbox"/> Reissue Filing Fee |
| 1005 | 160 | 2005 | 80 | <input type="checkbox"/> Provisional Filing Fee |
| Subtotal (1) | | | | \$ 0 |

| 2. EXTRA CLAIM FEES | | | | |
|---------------------------|-------|-------|--------|-------------|
| Current Claims | Prior | Extra | Fee | Fee Paid |
| Total | - | 20 | = | x \$ = \$ 0 |
| Ind. | - | 3 | = | x \$ = \$ 0 |
| Multiple Dependent Claims | | | x \$ = | \$ |
| Subtotal (2) | | | | \$ 0 |

| Large Entity | | Small Entity | | |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent Claim |
| 1204 | 86 | 2204 | 43 | Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (Continued)

| 3. ADDITIONAL FEES | | | | |
|---------------------|----------|--------------|----------|--|
| Large Entity | | Small Entity | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
| 1051 | 130 | 2051 | 65 | Surcharge - Late filing fee or oath |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet |
| 1053 | 130 | 2053 | 130 | Non-English specification |
| 1812 | 2,520 | 2812 | 2,520 | For Filing a Request for <i>ex parte</i> Reexamination |
| 1804 | 920 | 2804 | 920 | Requesting publication of SIR prior to Examiner action |
| 1805 | 1,840 | 2805 | 1,840 | Requesting publication of SIR after Examiner action |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month |
| 1252 | 420 | 2252 | 210 | Extension for reply within 2 nd month |
| 1253 | 950 | 2253 | 475 | Extension for reply within 3 rd month |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within 4 th month |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within 5 th month |
| 1401 | 330 | 2401 | 165 | Notice of Appeal |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal |
| 1403 | 290 | 2403 | 145 | Request for oral hearing |
| 1814 | 110 | 2814 | 55 | Terminal Disclaimer Fee |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional |
| 1501 | 1,330 | 2501 | 665 | Utility/Reissue issue fee |
| 1502 | 480 | 2502 | 240 | Design issue fee |
| 1503 | 640 | 2503 | 320 | Plant issue fee |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner |
| 1807 | 50 | 2807 | 50 | Processing fee under 37 CFR 1.17(q) |
| 1806 | 180 | 2806 | 180 | Submission of IDS |
| 8021 | 40 | 2021 | 40 | Recording each patent assignment per property (times number of properties) |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) |
| Other fee (specify) | | | | \$ |
| Subtotal (3) | | | | \$ 180 |

Total Amount of Payment: \$ 180

Submitted by:

| | | |
|--------------------------------|---------------------------|---------------------------|
| Name: Marcus Simon | Reg. No.: 50,258 | Telephone: (206) 903-8800 |
| Signature: <i>Marcus Simon</i> | Date: <i>July 9, 2004</i> | |